



Sponsored Programs Annual Report
Fiscal Year 2016



Table of Contents

Mission and Introduction.....	2
Proposals, Awards, Expenditures	
Proposals.....	3
Awards.....	4
Expenditures.....	5
FY 2016 Expenditures By Prime Funding Source	6
FY 2016 Expenditures By Project Type	8
Clinical Trial Expenditures	10
F&A Recovery.....	11
Top 15 Departments	12
Office of Research Administration Organizational Chart	13



Mission and Introduction

MISSION

The Office of Research Administration (ORA) works closely with the research community to support faculty and staff in the solicitation and administration of grants, contracts and cooperative agreements on behalf of the University of Miami. Our mission is to ensure the research community receives professional, customer-oriented administrative support and that our extramural sponsors are assured funds are managed appropriately and consistently with federal, state and other agency specific guidelines.

Our responsibilities include support for faculty and staff when applying for potential funding, outreach, development and training, negotiation of contracts and awards, administration of extramurally funded grants and contracts, and providing aid and guidance with interpreting policies and procedures.

INTRODUCTION

In Fiscal Year 2016, the Office of Research Administration (ORA) has undergone numerous changes to streamline and improve processes to better serve our faculty and staff. As we look forward to Fiscal Year 2017, we see further opportunities to improve upon our processes, to ensure efficiency, provide clarity and guidance to the community, and continue to serve the research community.

This annual report reflects the continued effort by the University's world class faculty and staff to fulfill our mission to transform lives. It is with great pleasure the Office of Research Administration reports that the total new dollars requested via proposals was up for the third year in a row. Total award dollars received remained relatively flat compared with FY 2015, but total expenditures showed a strong 4.8% increase for FY 2016.

The University's expenditures in Clinical Trials continued to show an increase for the third consecutive year, up 47% over that span. Overall, our total expenditures continue to be largely supported by the federal government, comprising 69% of all expenditures; however, funding from industry and non-profit organizations reached a combined total of \$67.9 Million for FY 2016.

As we look forward to the coming years, we are optimistic about the direction the University of Miami is heading. The continued efforts by our faculty and staff to submit increasing numbers of applications each year indicate great potential for even greater funding. Together our increase in proposals and funding, will continue to drive the expenditures on sponsored research higher.

I would sincerely like to thank all of the individuals in ORA who assisted in all facets of the operation. It is through this group effort that we will help achieve the University's mission to transform lives.



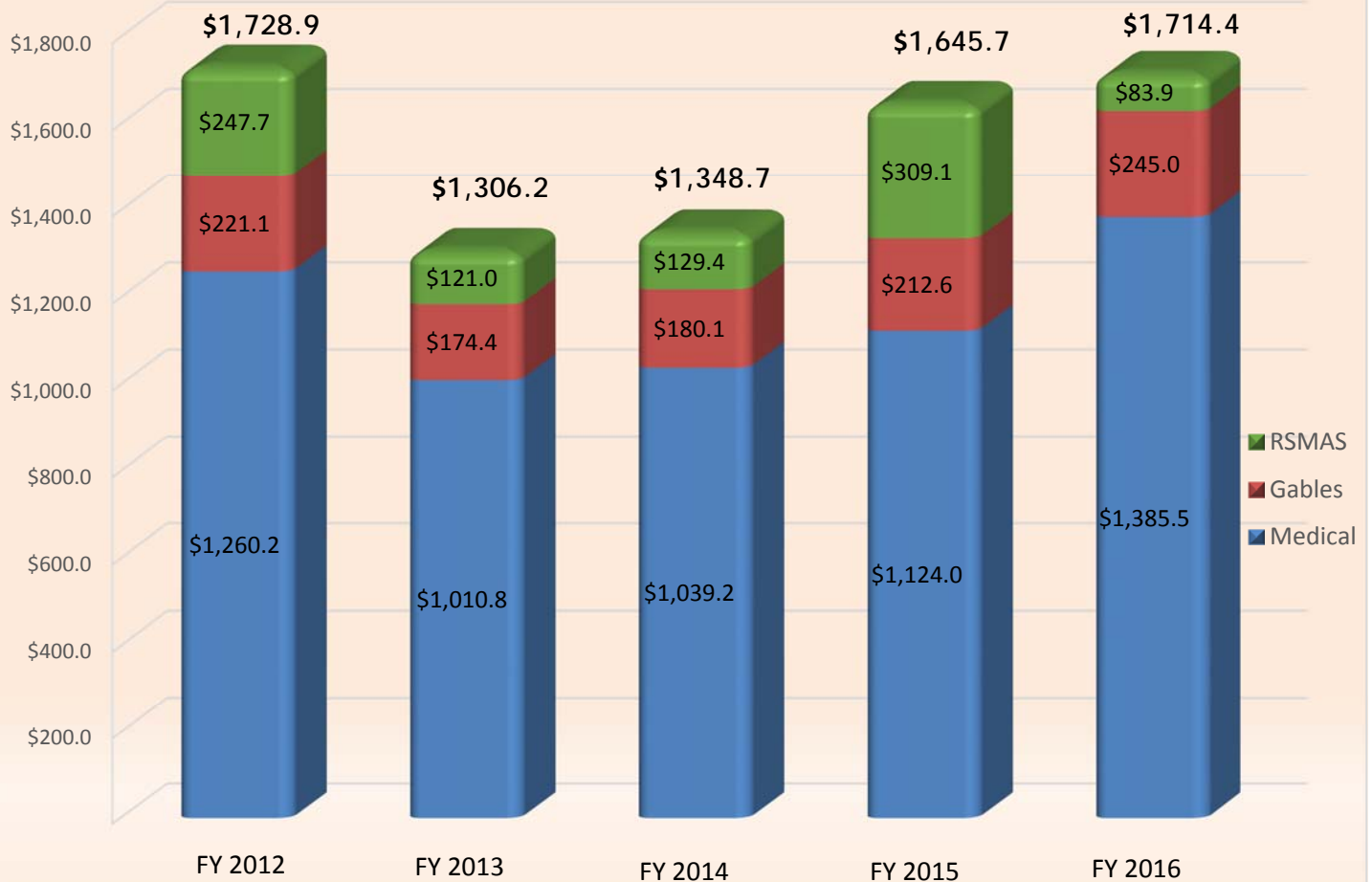
**At the U, we transform lives
through teaching, research,
and service.**

Thank you,

Barbara Cole
Associate Vice President, Research Administration



Proposals



* All Dollars Shown in Millions

† New, Competing, Supplemental

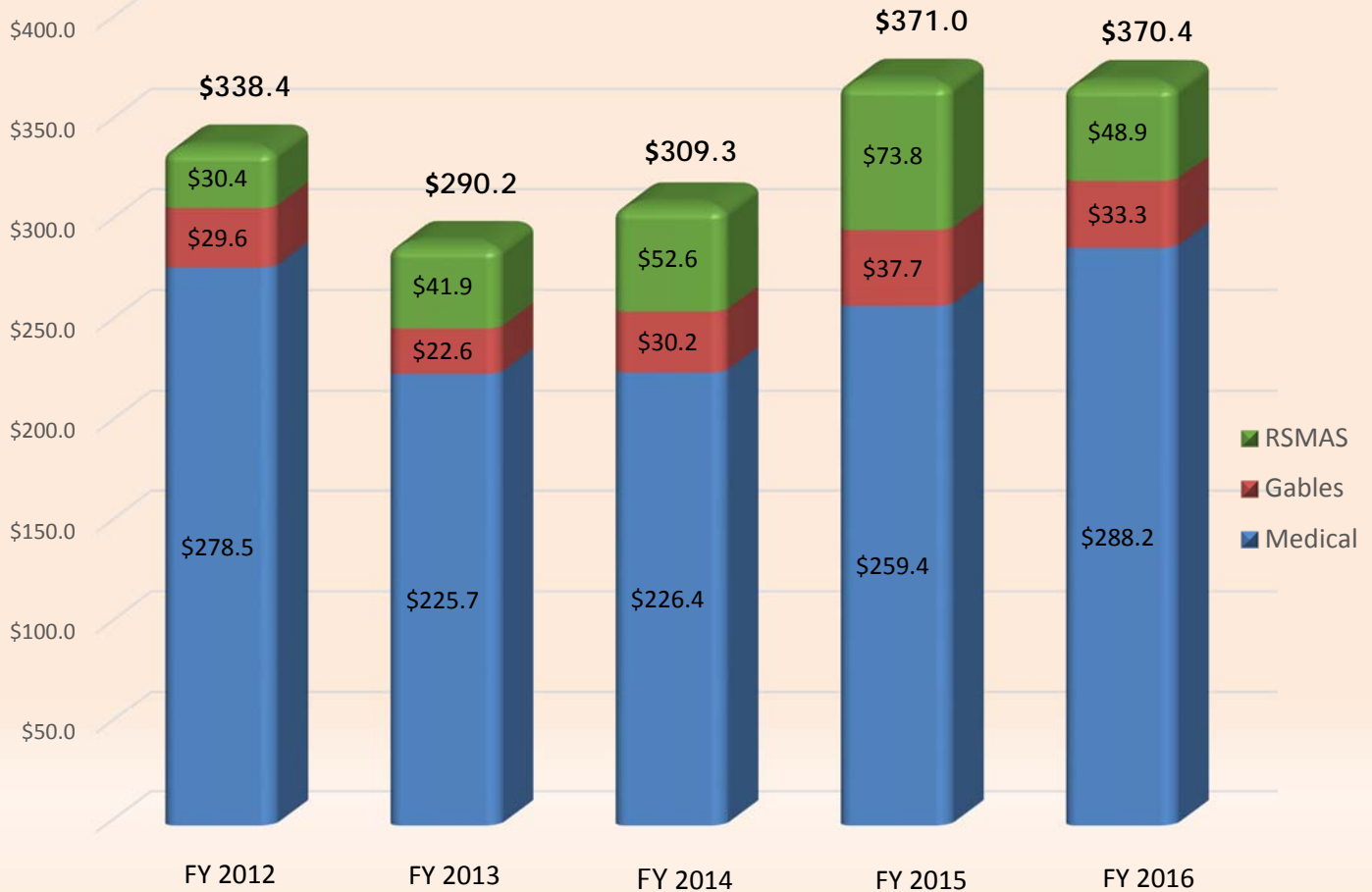
Campus	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Medical	\$1,260.2	\$1,010.8	\$1,039.2	\$1,124.0	\$1,385.5
Gables	\$221.1	\$174.4	\$180.1	\$212.6	\$245.0
RSMAS	\$247.7	\$121.0	\$129.4	\$309.1	\$83.9
Total	\$1,729.0	\$1,306.2	\$1,348.7	\$1,645.7	\$1,714.4

*All Dollars Shown in Millions

Campus	FY13 vs FY12	FY14 vs FY13	FY15 vs FY14	FY16 Vs FY15
Medical	(\$249.4) (19.8%)	\$28.4 2.8%	\$84.8 8.2%	\$261.5 23.3%
Gables	(\$46.7) (21.1%)	\$5.7 3.3%	\$32.5 18.0%	\$32.4 13.2%
RSMAS	(\$126.7) (51.2%)	\$8.4 6.9%	\$179.7 138.9%	(\$225.2) (72.9%)
Total	(\$422.8) (24.5%)	\$42.5 3.3%	\$297.0 22.0%	\$68.7 4.2%



Awards



*All Dollars Shown in Millions

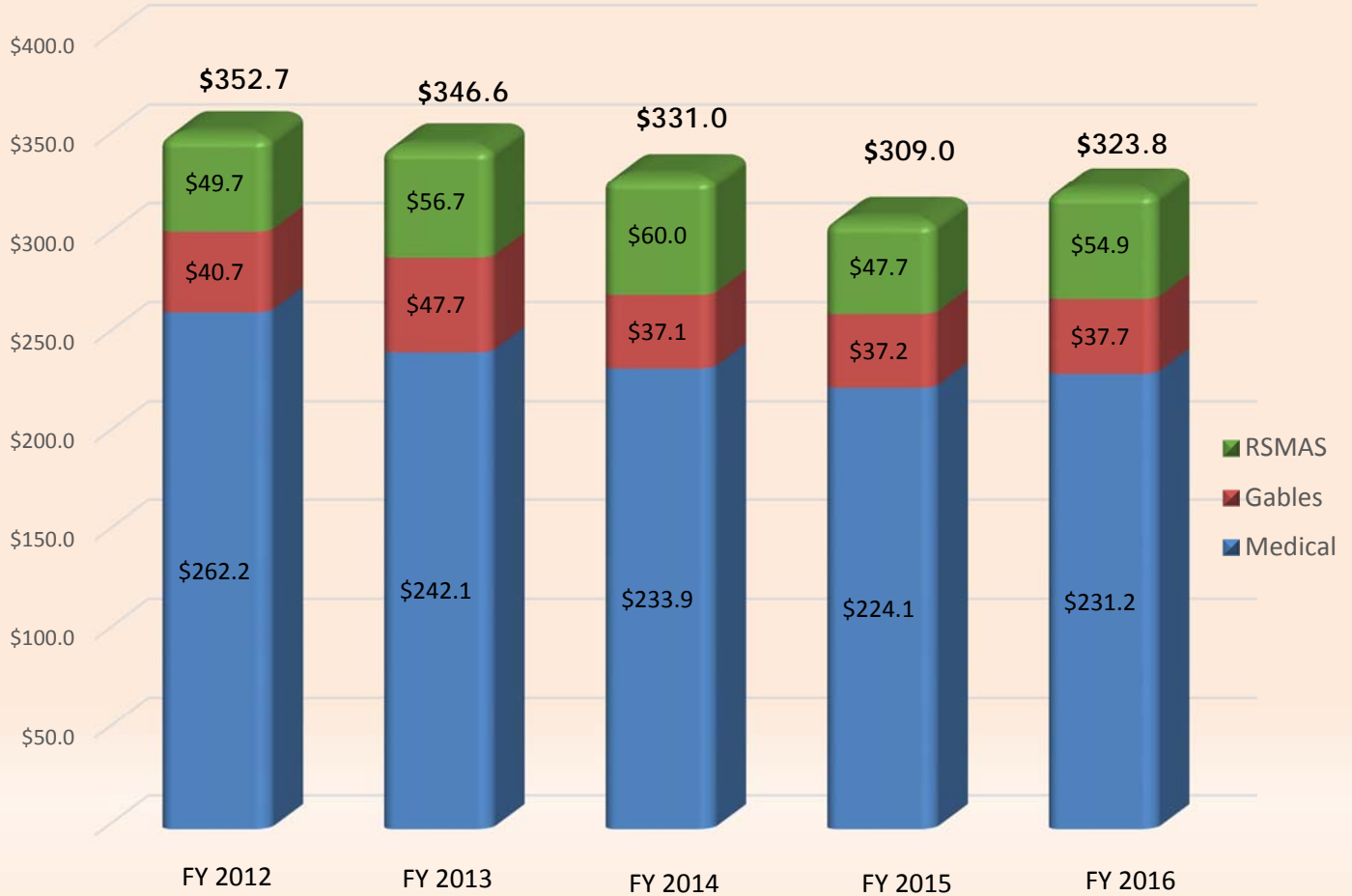
Campus	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Medical	\$278.5	\$225.7	\$226.4	\$259.4	\$288.2
Gables	\$29.6	\$22.6	\$30.2	\$37.7	\$33.3
RSMAS	\$30.4	\$41.9	\$52.6	\$73.8	\$48.9
Total	\$338.4	\$290.2	\$309.3	\$371.0	\$370.4

*All Dollars Shown in Millions

Campus	FY13 vs FY12	FY14 vs FY13	FY15 vs FY14	FY16 vs FY15
Medical	(\$52.8) (19.0%)	\$0.7 0.3%	\$33.0 14.6%	\$28.8 11.1%
Gables	(\$7.0) (23.6%)	\$7.6 33.6%	\$7.5 24.8%	(\$4.4) (11.7%)
RSMAS	\$11.5 37.8%	\$10.7 25.5%	\$21.2 40.3%	(\$24.9) (33.7%)
Total	(\$48.3) (14.2%)	\$19.0 6.6%	\$61.7 19.9%	(\$0.5) (0.2%)



Expenditures



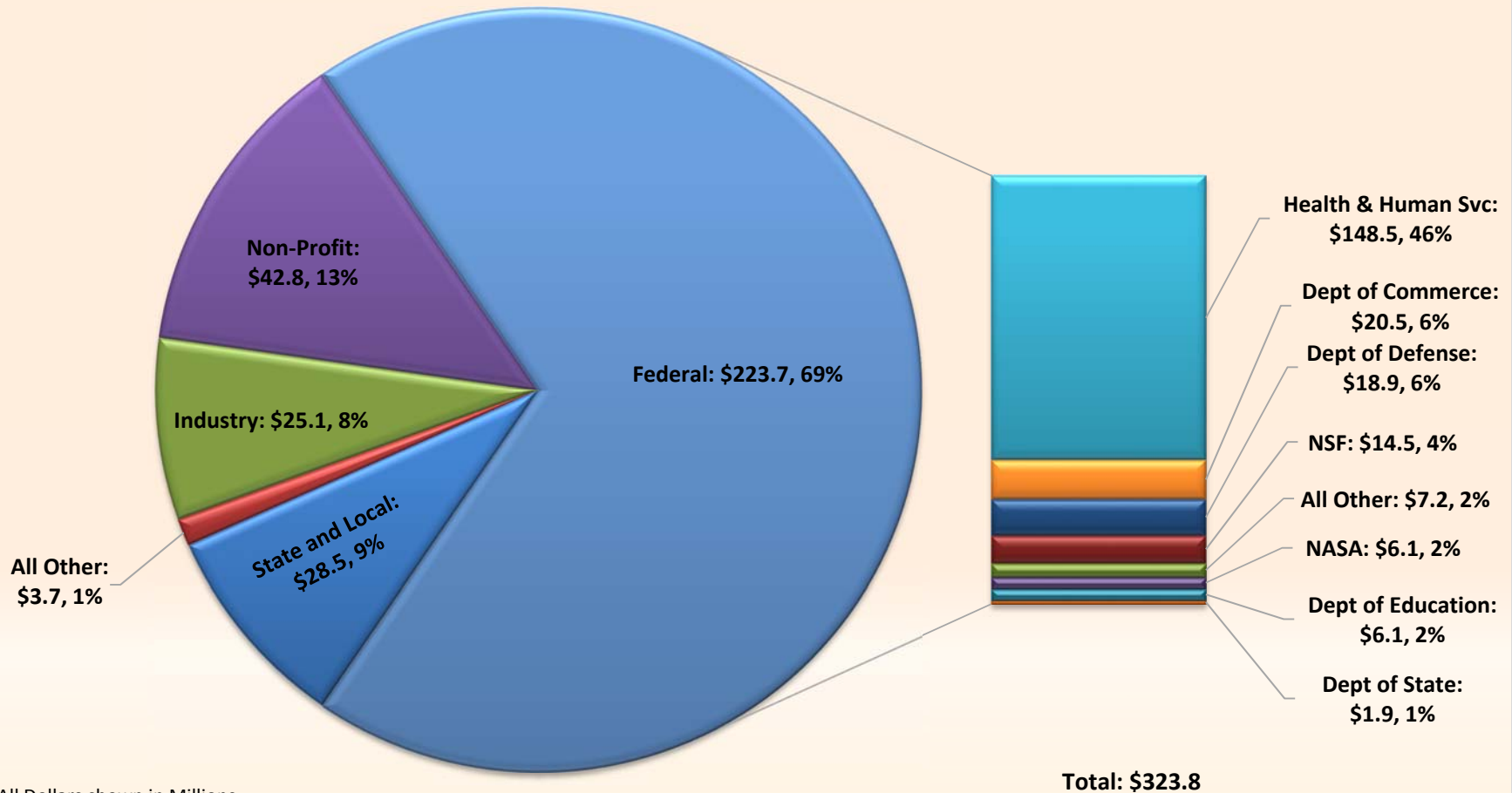
*All Dollars Shown in Millions

Campus	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Medical	\$262.2	\$242.1	\$233.9	\$224.1	\$231.3
Gables	\$40.7	\$47.7	\$37.1	\$37.2	\$37.7
RSMAS	\$49.7	\$56.7	\$60.0	\$47.7	\$54.8
Total	\$352.6	\$346.6	\$331.0	\$309.0	\$323.8

*All Dollars Shown in Millions

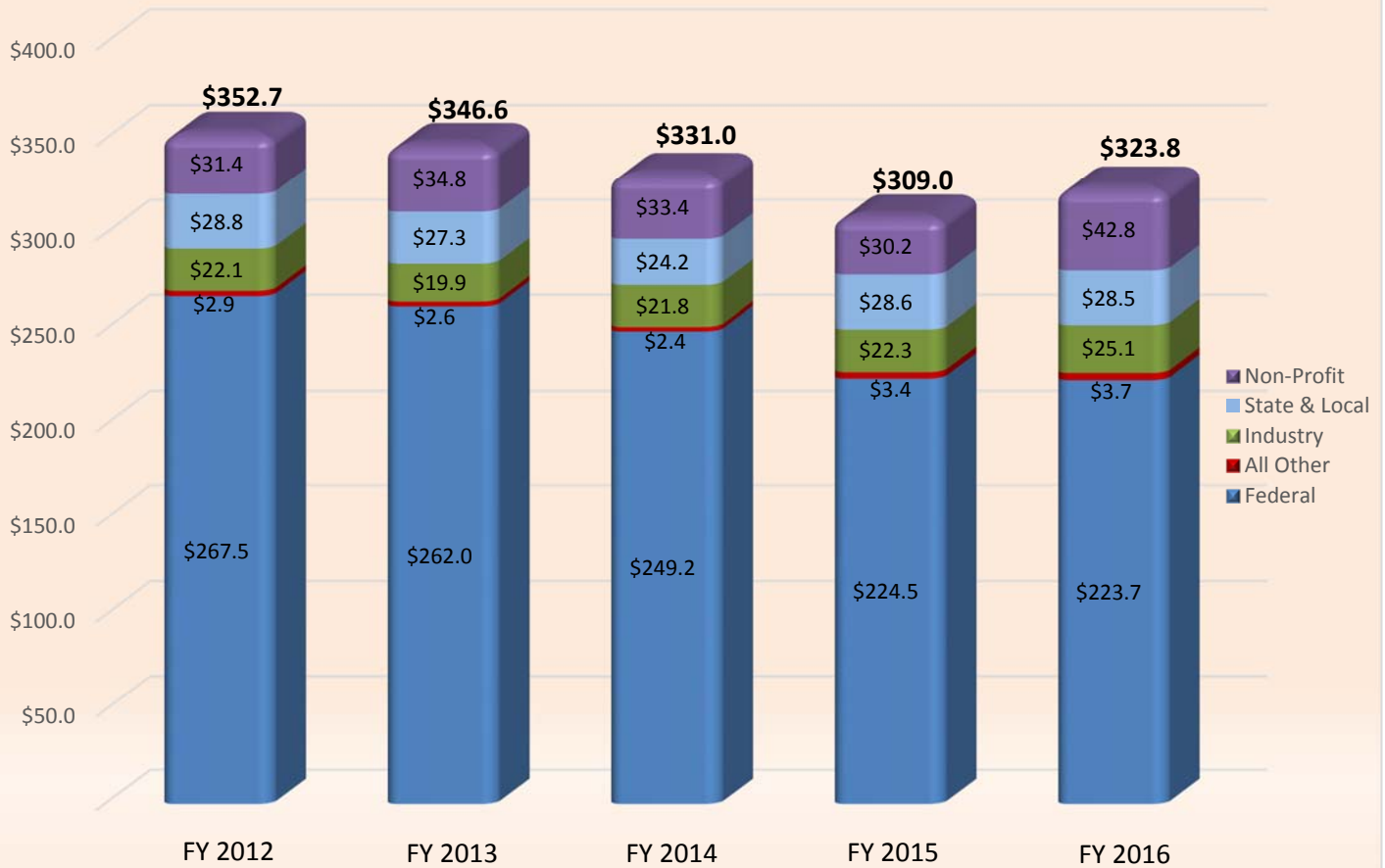
Campus	FY13 vs FY12	FY14 vs FY13	FY15 vs FY14	FY16 vs FY15
Medical	(\$20.1) (7.7%)	(\$8.2) (3.4%)	(\$9.8) (4.2%)	\$7.2 3.2%
Gables	\$7.0 17.2%	(\$10.6) (22.2%)	\$0.1 0.3%	\$0.5 1.3%
RSMAS	\$7.0 14.1%	\$3.3 5.8%	(\$12.3) (20.5%)	\$7.1 15.1%
Total	(\$6.1) (1.7%)	(\$15.5) (4.5%)	(\$22.0) (6.6%)	\$14.8 4.8%

FY 2016 Expenditures By Prime Funding Source





Expenditures by Prime Funding Source



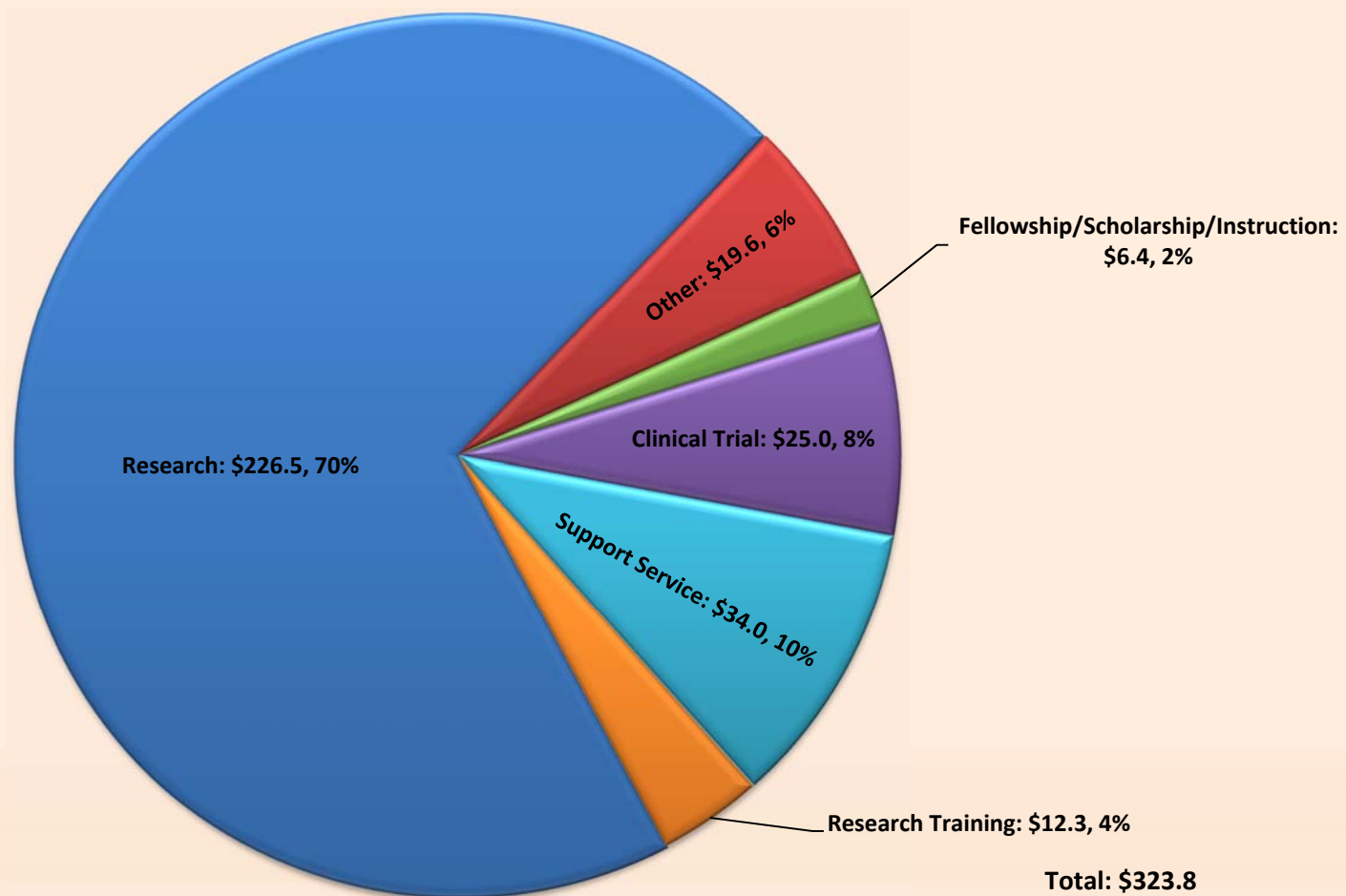
*All Dollars shown in Millions

Funding Source	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Federal	\$267.5	\$262.0	\$249.2	\$224.5	\$223.7
State & Local	\$28.8	\$27.3	\$24.2	\$28.6	\$28.5
Non-Profit	\$31.4	\$34.8	\$33.4	\$30.2	\$42.8
Industry	\$22.1	\$19.9	\$21.8	\$22.3	\$25.1
All Other	\$2.9	\$2.6	\$2.4	\$3.4	\$3.7
Total	\$352.7	\$346.6	\$331.0	\$309.0	\$323.8

*All Dollars Shown in Millions



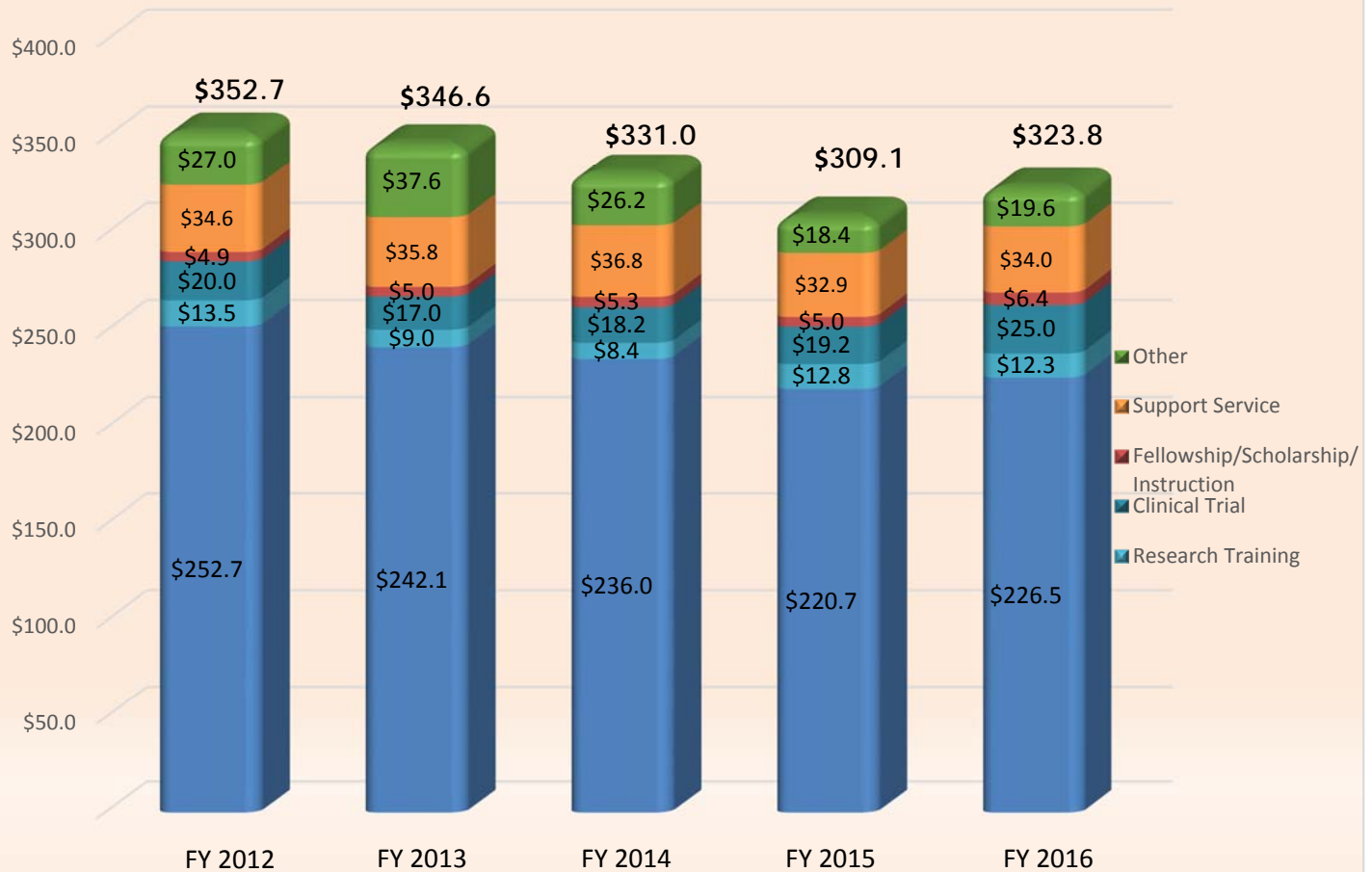
FY 2016 Expenditures by Project Type



*All Dollars shown in Millions



Expenditures by Project Type



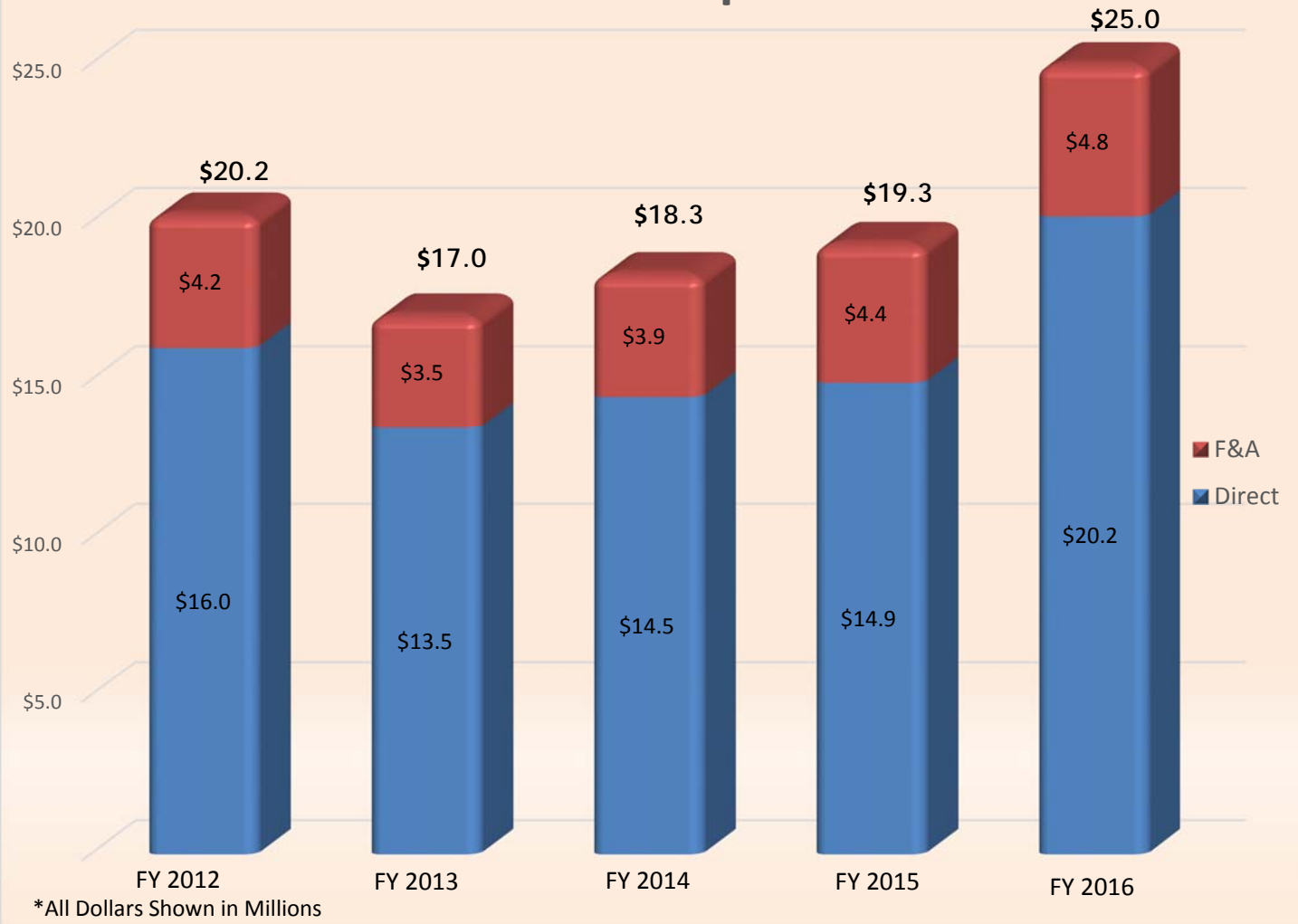
* All Dollars Shown in Millions

Project Type	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Research	\$252.7	\$241.1	\$236.0	\$220.7	\$226.5
Research Training	\$13.5	\$9.0	\$8.4	\$12.8	\$12.3
Clinical Trial	\$20.2	\$17.0	\$18.2	\$19.3	\$25.0
Fellowship/Scholarship/Instruction	\$4.9	\$5.0	\$5.3	\$5.0	\$6.4
Support Service	\$34.6	\$35.8	\$36.8	\$32.9	\$34.0
Other	\$27.0	\$37.6	\$26.2	\$18.4	\$19.6
Total	\$352.7	\$346.6	\$331.0	\$309.1	\$323.8

*All Dollars Shown in Millions



Clinical Trial Expenditures

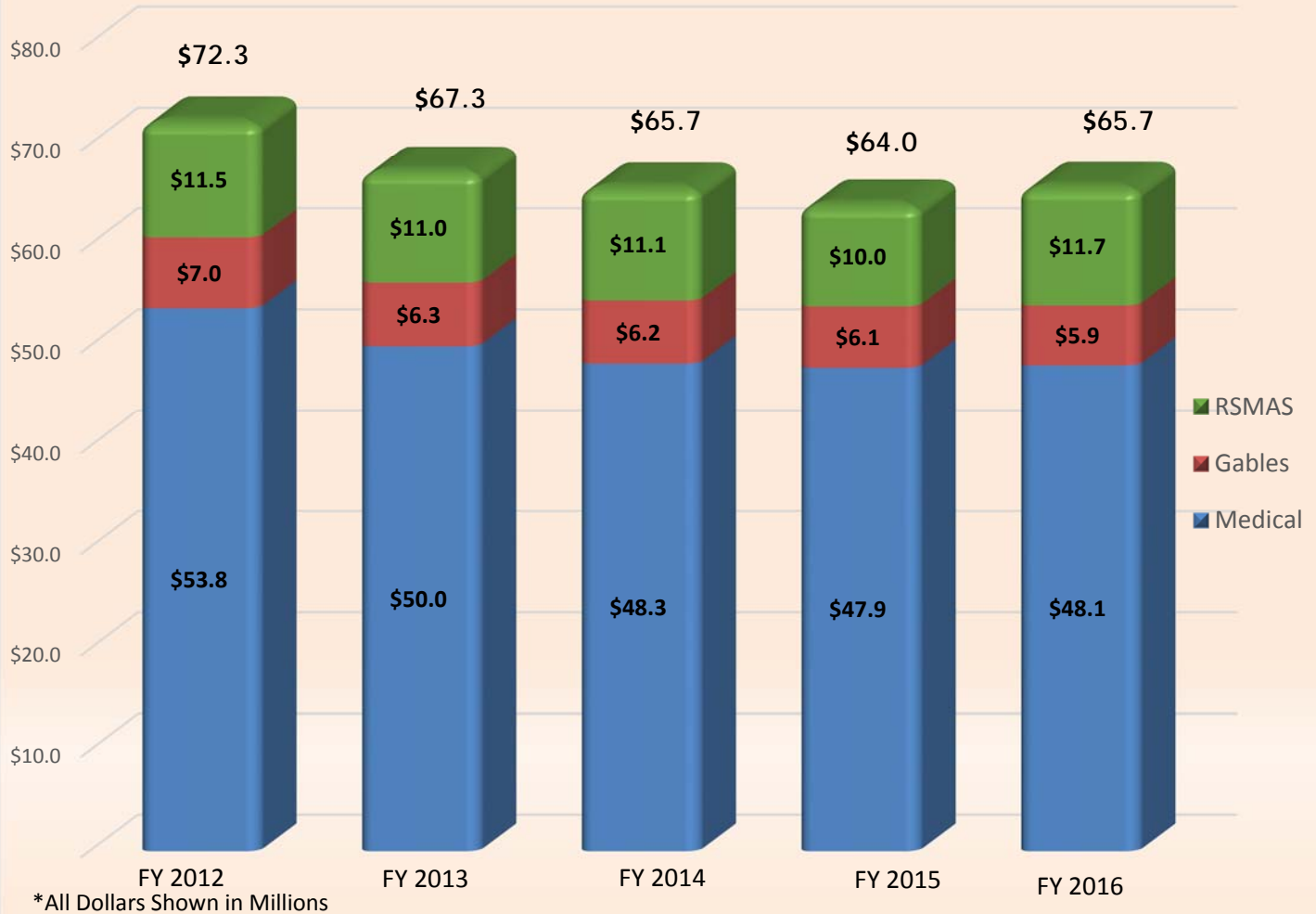


Expenditure Type	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Direct	\$16.0	\$13.5	\$14.5	\$14.9	\$20.2
F&A	\$4.2	\$3.5	\$3.9	\$4.4	\$4.8
Total	\$20.2	\$17.0	\$18.3	\$19.3	\$25.0

*All Dollars Shown in Millions



F&A Recovery



Campus	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Medical	\$53.8	\$50.0	\$48.3	\$47.9	\$48.1
Gables	\$7.0	\$6.3	\$6.2	\$6.1	\$5.9
RSMAS	\$11.5	\$11.0	\$11.1	\$10.0	\$11.7
Total	\$72.3	\$67.3	\$65.7	\$64.0	\$65.7

*All Dollars Shown in Millions

Top 15 Departments - Institution Wide

Campus	Department	Total Fiscal Year Expenditures			FY15 vs FY16		FY14 vs FY15		FY14 vs FY16	
		FY14	FY15	FY16	%	\$	%	\$	%	\$
Medical	Medicine	\$24.9	\$22.8	\$27.2	19.3%	\$4.4	(8.4%)	\$(2.1)	9.2%	\$2.3
Medical	Pediatrics	\$32.3	\$24.9	\$27.0	8.4%	\$2.1	(22.9%)	\$(7.4)	(16.4%)	\$(5.3)
Medical	Neurology	\$15.2	\$15.0	\$15.4	2.7%	\$0.4	(1.3%)	\$(0.2)	1.3%	\$0.2
Medical	Diabetes Research Institute	\$14.5	\$13.9	\$15.0	7.9%	\$1.1	(4.1%)	\$(0.6)	3.4%	\$0.5
Gables	Psychology	\$12.2	\$13.4	\$14.2	6.0%	\$0.8	9.8%	\$1.2	16.4%	\$2.0
RSMAS	Coop Inst for Marine and Atmos. Studies	\$13.1	\$15.1	\$14.1	(6.6%)	\$(1.0)	15.3%	\$2.0	7.6%	\$1.0
Medical	Miami Project	\$15.0	\$14.0	\$13.1	(6.4%)	\$(0.9)	(6.7%)	\$(1.0)	(12.7%)	\$(1.9)
RSMAS	Ocean Sciences	\$ -	\$1.6	\$11.5	618.8%	\$9.9	\$ -	\$1.6	\$ -	\$11.5
Medical	Psychiatry & Behavioral Sciences	\$12.3	\$11.5	\$11.1	(3.5%)	\$(0.4)	(6.5%)	\$(0.8)	(9.8%)	\$(1.2)
Medical	Ophthalmology	\$10.8	\$12.4	\$11.0	(11.3%)	\$(1.4)	14.8%	\$1.6	1.9%	\$0.2
Medical	Public Health Sciences	\$13.9	\$11.5	\$10.7	(7.0%)	\$(0.8)	(17.3%)	\$(2.4)	(23.0%)	\$(3.2)
Medical	Hussman Institute for Human Genomics	\$11.4	\$10.9	\$10.3	(5.5%)	\$(0.6)	(4.4%)	\$(0.5)	(9.6%)	\$(1.1)
Medical	Surgery	\$11.2	\$9.1	\$9.5	4.4%	\$0.4	(18.8%)	\$(2.1)	(15.2%)	\$(1.7)
RSMAS	Meteorology and Physical Oceanography	\$16.6	\$11.6	\$8.4	(27.6%)	\$(3.2)	(30.1%)	\$(5.0)	(49.4%)	\$(8.2)

*All Dollars Shown in Millions

